

Theory of Hamiltonian Thermostats for Molecular Dynamics Simulations

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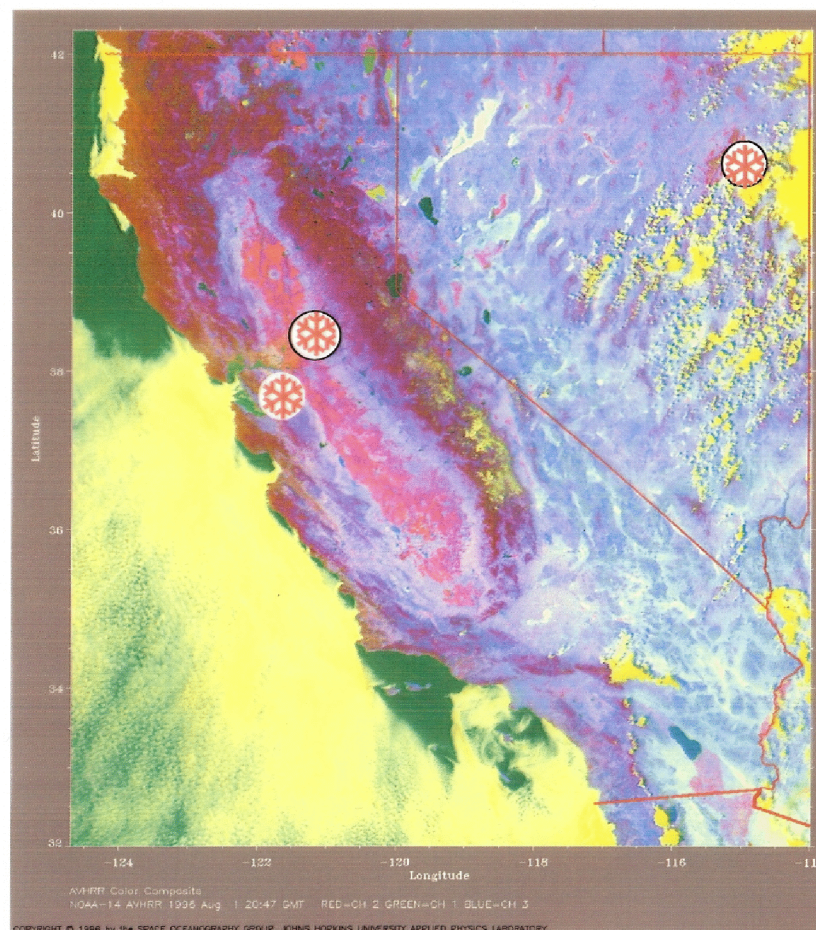
<http://williamhoover.info>

1. Molecular Dynamics Simulations .
2. Why Thermostats are Needed .
3. Four Example \mathcal{L} and \mathcal{H} Thermostats :
Gauss-Rescaling and Nosé-Hoover ;
Configurational and Kinetic .
4. Problems for \mathcal{L} and \mathcal{H} Mechanics .

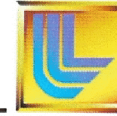
Overview of California and Nevada



Davis
Livermore



Ruby Valley



Nonequilibrium Molecular Dynamics

Wm G Hoover & Carol G Hoover
UCDavis, LLNL, and Ruby Valley NV



Ruby Valley Neighbors



Local Ruby Valley Industry



Theory of Hamiltonian Thermostats for Molecular Dynamics Simulations

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1. Molecular Dynamics Simulations

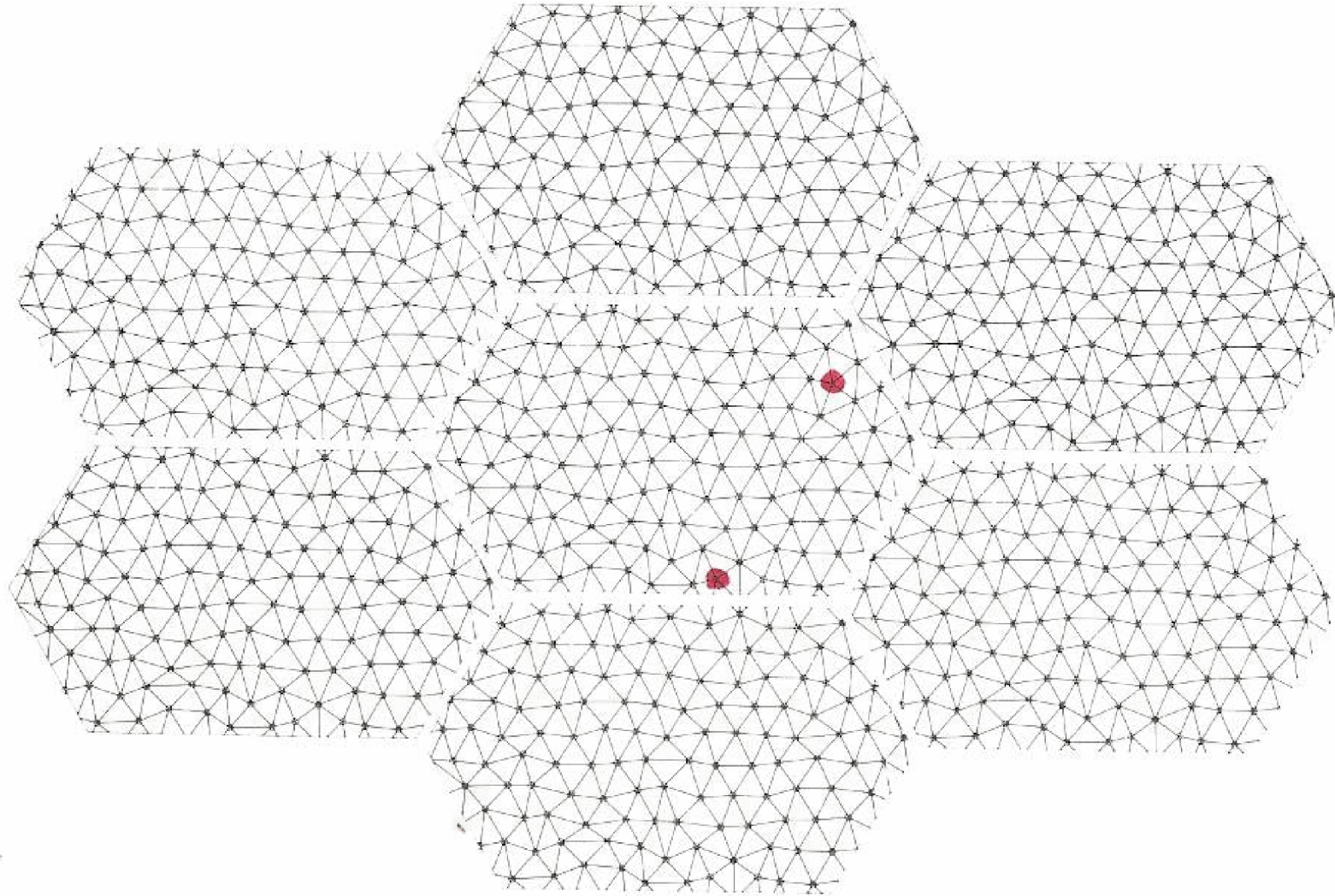
Molecular Dynamics Simulations

- Equations of Motion are either first-order or second-order **ordinary differential equations** :

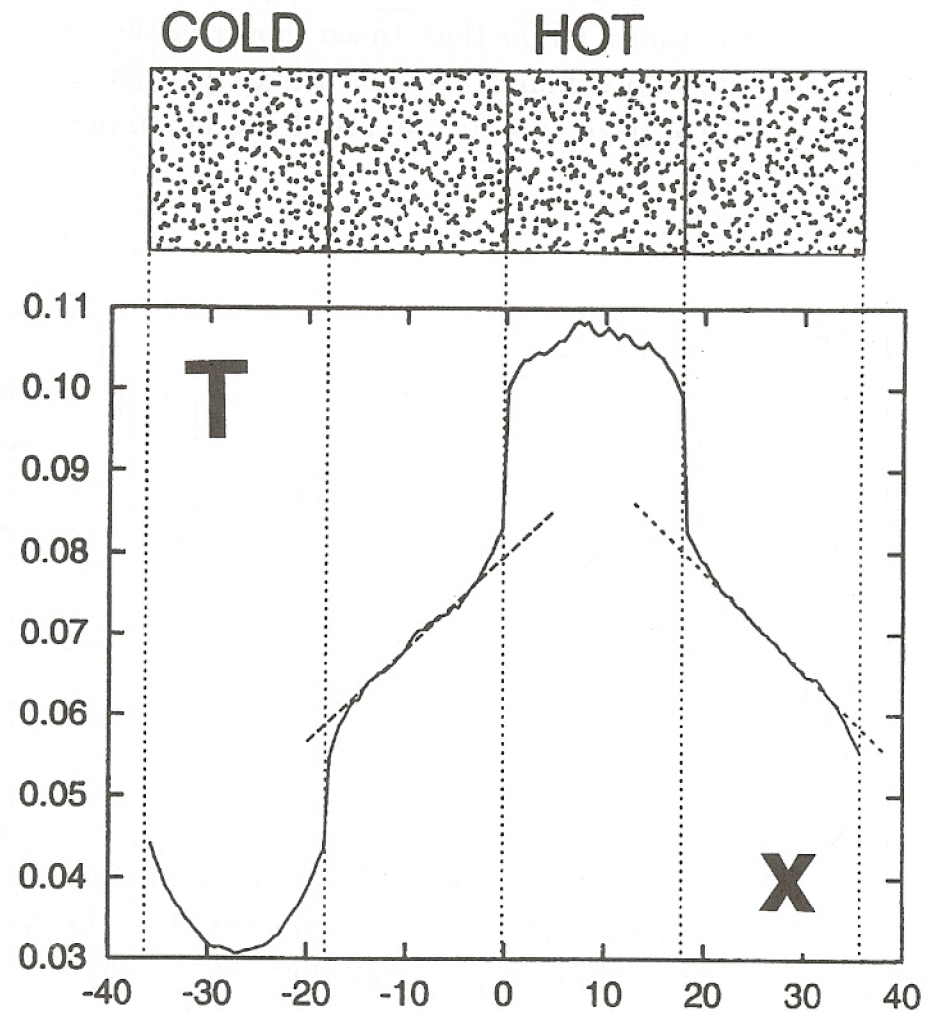
$$\{\dot{\mathbf{q}}, \dot{\mathbf{p}}\} \text{ or } \{\ddot{\mathbf{q}}\}$$

- **Periodic** Boundary Conditions are the simplest, and have been applied to both shear flows and **heat flows** .
- Fourth-order Runge-Kutta is the simplest solution algorithm .

Periodic Solid-Phase Shear

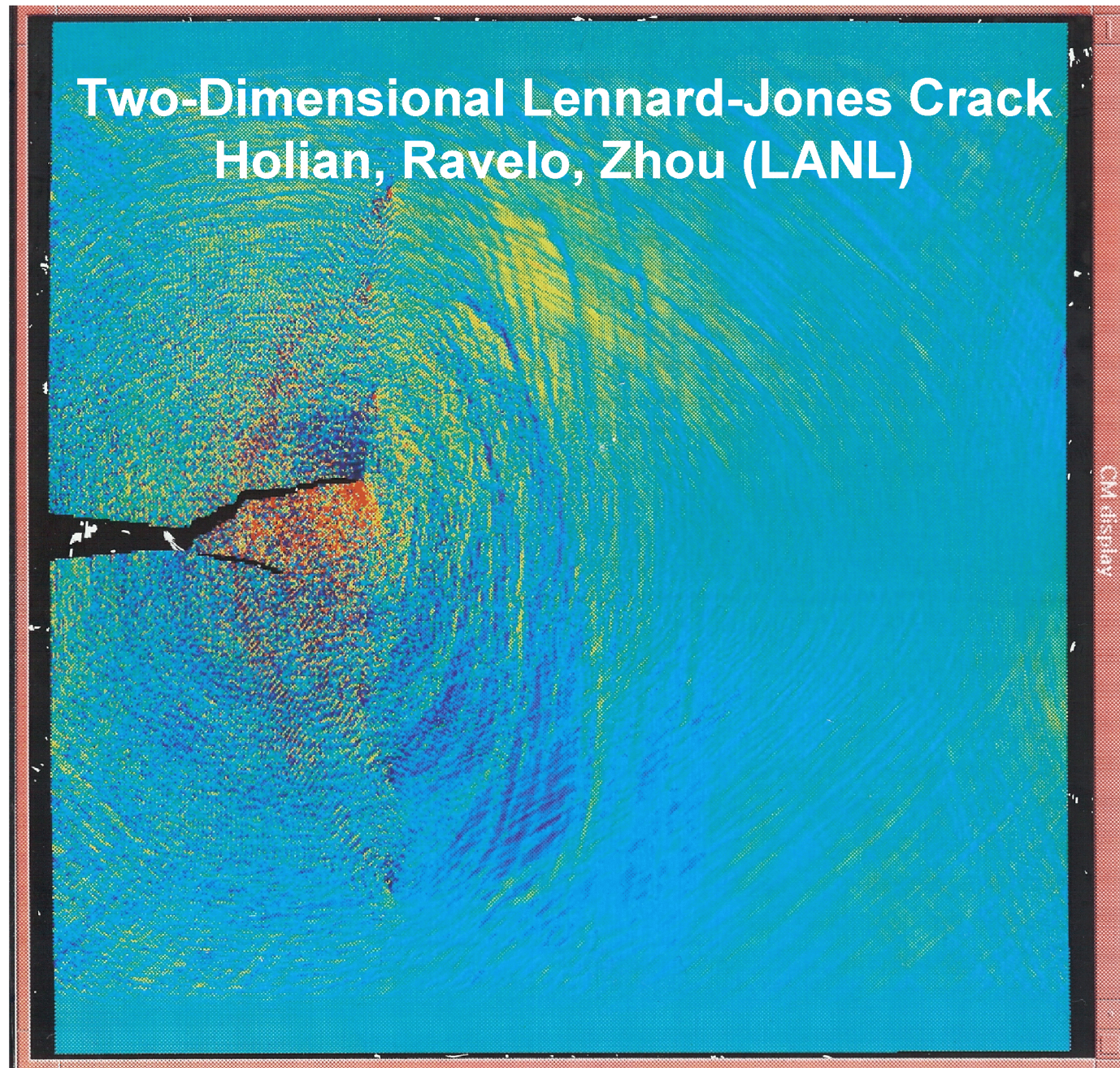


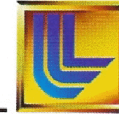
Four-Chamber Periodic Heat Flow



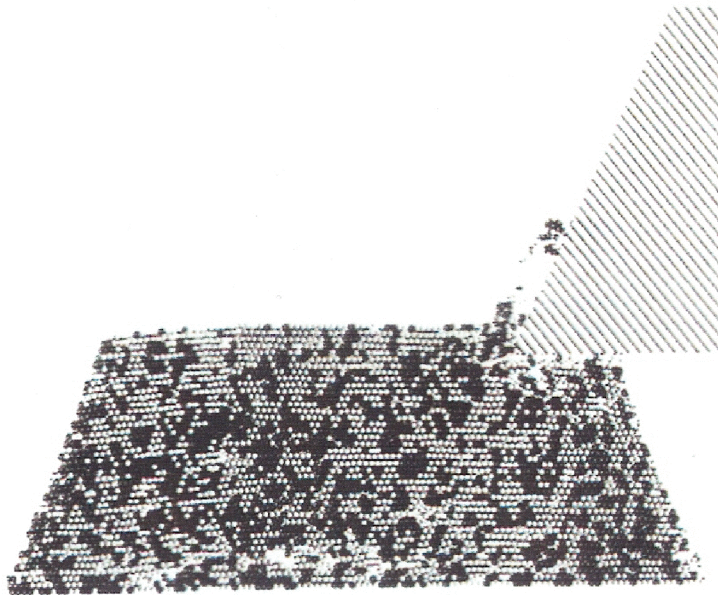
Two-Dimensional Lennard-Jones Crack

Holian, Ravelo, Zhou (LANL)

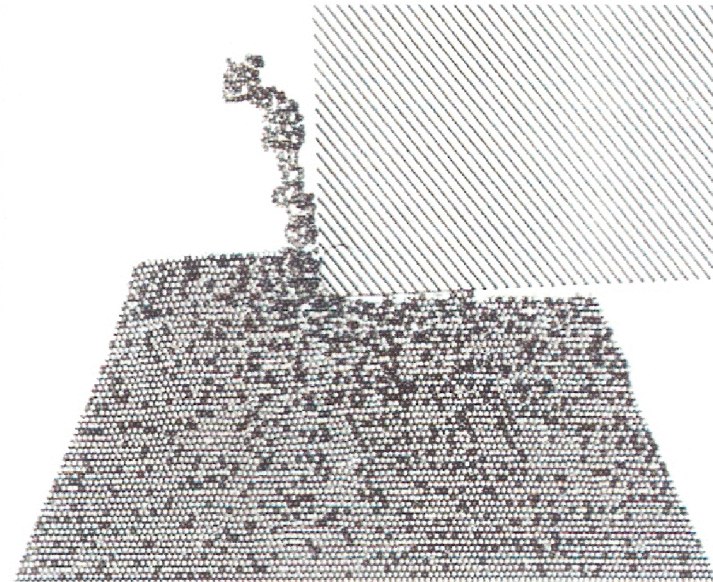




Thermostatted Metal Cutting



Lennard-Jones Crystal



Embedded-Atom (Metal)

Molecular Dynamics Simulations

- Temperature and Pressure are typically expressed in terms of momenta and **forces**, at, and away from, equilibrium :

$$E = K(p) + \Phi(q);$$

$$PV = \sum_{i < j} Fr + \sum_i pp/m;$$

$$kT(p) = \langle p^2/2m \rangle \text{ or } kT_c(q) = \langle F^2/\nabla^2 H \rangle.$$

- **Configurational** Temperature T_c is both old (Landau-Lifshitz) and “new” (Rugh) .

Theory of Hamiltonian Thermostats for Molecular Dynamics Simulations

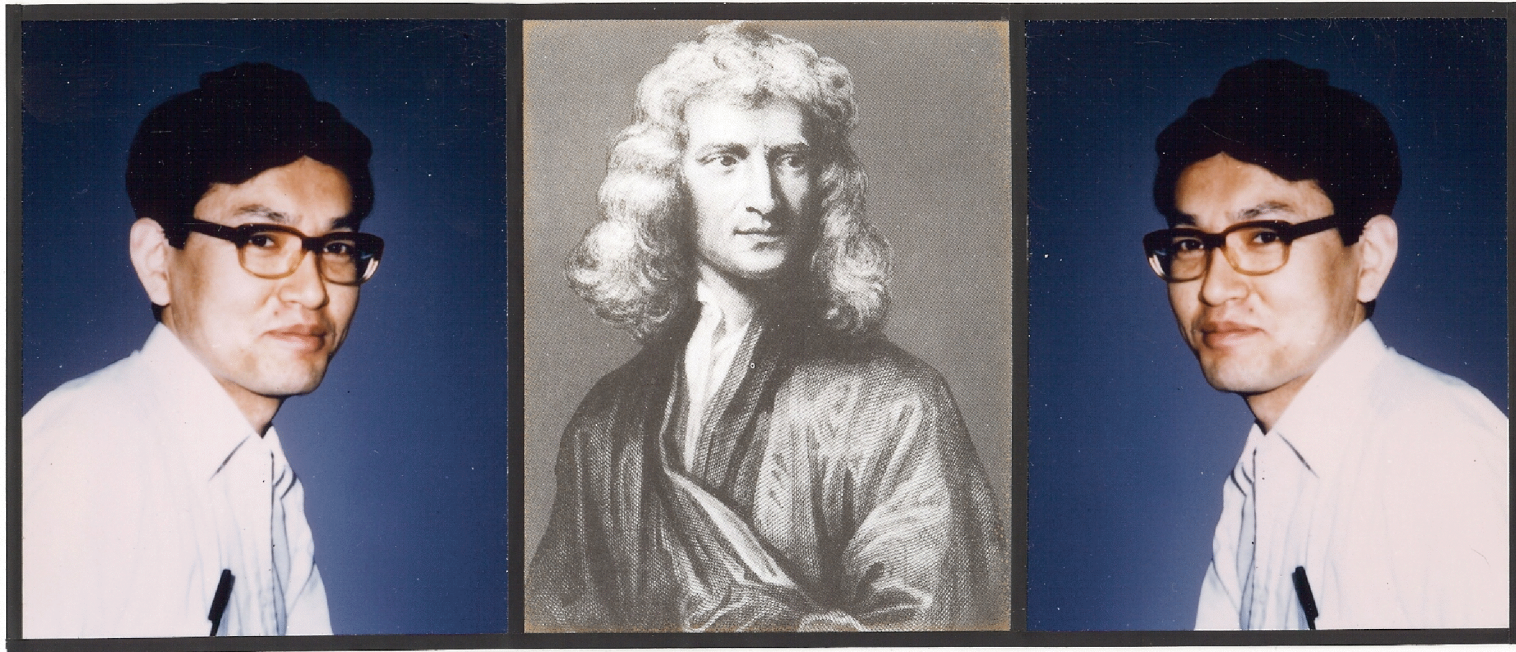
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2. The Need for NEMD Thermostats

Molecular Dynamics Simulations

- Nonequilibrium simulations typically generate **irreversible heat**, proportional to the squares of the velocity or temperature gradients .
- To remove this heat **Thermostats** are required. How can we find appropriate thermostats ?
- We will consider here four thermostat types :
 1. “Velocity scaling” [Woodcock, Ashurst]
 2. “Nose-Hoover” [Dettmann → Morriss]
 3. “Configurational \mathcal{L} and \mathcal{H} ” [Landau-Lifshitz]
 4. “Constrained \mathcal{L} and \mathcal{H} ” [Hoover ← Leete]

Heat Transfer *via* Two Thermostatted Boundaries

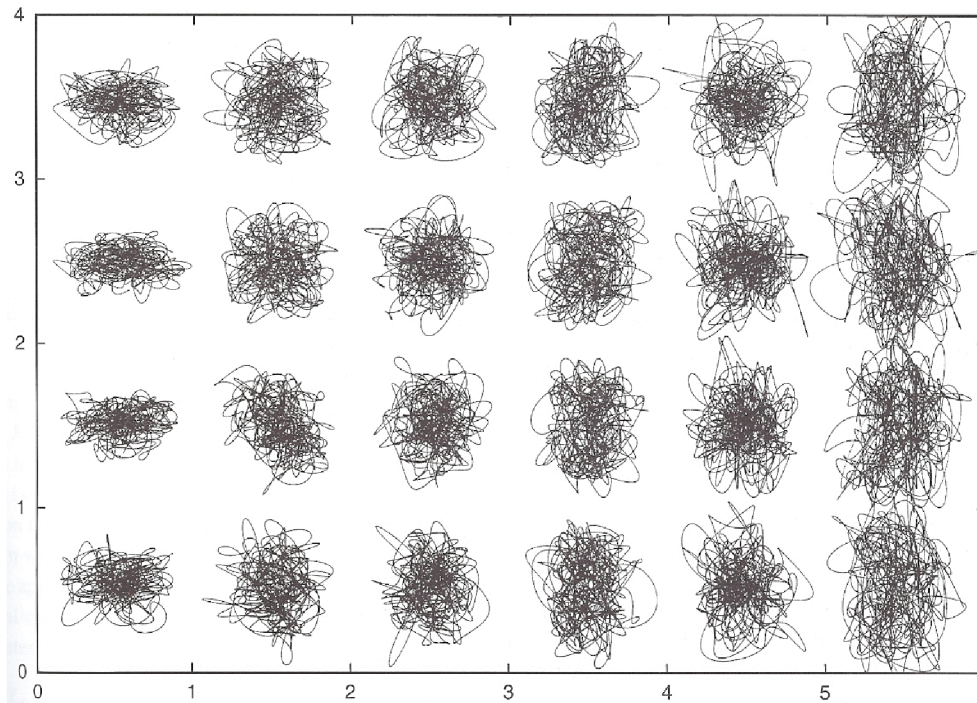


Shūichi Nosé
Keio University
Yokohama
1987

Shūichi Nosé
Keio University
Yokohama
1987

Heat Conduction in 2D ϕ^4 Slab

$$\Phi_{\text{Newton}} = \sum_{\text{sites}} \delta^4 / 4 + \sum_{\text{pairs}} (|r| - 1)^2 / 2 .$$



Hoover, Aoki,
Hoover, and
De Groot
Physica D
(2004)

Four **COLD** Particles & Four **HOT** Particles

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3. Four Example Thermostats

3A. The **Isokinetic** Thermostat

- Velocity rescaling: $p_0 = p [K_0/K]^{1/2}$.
- **Continuous** rescaling: $dp/dt = F - \zeta p$,
with $\zeta = \Sigma F \cdot p / \Sigma (p^2/m) \rightarrow dK/dt = 0$.
- Dettmann-Morris Hamiltonian :
 $\mathcal{H}(q,p) = K(p)e^{+\Phi/2K_0} - K_0e^{-\Phi/2K_0} = 0$!

All three approaches [1971, 1980, 1996]
are equivalent!

Isokinetic $\mathcal{H}(q,p)$ Details

$$\mathcal{H}(q,p) = K(p)e^{+\Phi/2K_0} - K_0e^{-\Phi/2K_0} = 0 !$$

This implies that $K/K_0 = e^{-\Phi/K_0}$.

Compute Hamiltonian motion equations :

- $m \mathbf{dq}/dt = \mathbf{p} e^{+\Phi/2K_0} ; \mathbf{dp}/dt = \mathbf{F} e^{-\Phi/2K_0} ;$

giving the familiar isokinetic equations

- $m \mathbf{d^2q}/dt^2 = \mathbf{F} - \zeta \mathbf{p} ; \zeta = [\Sigma \mathbf{F} \cdot \mathbf{dq}/dt / 2K_0] .$

3A. **Isokinetic** Thermostat

- **The Isokinetic thermostat preserves Gibbs' configurational distribution :**
- $f(q,t) \sim e^{-\Phi/kT} \rightarrow d\ln f/dt = -(d\Phi/dt)/kT = \Sigma Fp/kT .$
- Alternatively, from the isokinetic dynamics and Liouville's Theorem :

$$d\ln f/dt = \Sigma[\partial \dot{q} / \partial q + \partial \dot{p} / \partial p] = \Sigma \zeta$$

- The two approaches agree with the result of Gauss' $\langle F_c^2 \rangle$ Principle $\rightarrow \zeta = \Sigma Fp / \Sigma p^2 / m .$

3B. Nosé-Hoover Thermostat

- Carl Dettmann (Lyon, in 1996) discovered the vanishing Nosé-Hoover Hamiltonian :

$$\mathcal{H}(q,p) = [K(p)/s] + s[\Phi + \zeta^2 \tau^2/2 + \#kT \ln s] = 0 !$$

Familiar equations of motion result :

{ $dq/dt = p/m$; $dp/dt = F - \zeta p$ } ; where

$$d\zeta/dt = [(K/K_0) - 1]/\tau^2 .$$

- Now Liouville's Theorem gives the *full*

$$f(q,p,t) \sim e^{-\mathcal{H}/kT} \rightarrow d \ln f / dt = \sum \zeta_{\text{Nosé-Hoover}} .$$

With Fujiwara-sensei in 1990 @ Keio



STUDIES IN MODERN THERMODYNAMICS 11

Computational
Statistical
Mechanics

Wm. G. Hoover



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Free pdf file
available at
<http://williamhoover.info>

3C. **Configurational** Thermostat

- The **Configurational Temperature*** follows from a canonical-ensemble integration by parts :

$$kT \int \nabla^2 \Phi e^{-\Phi/kT} d\mathbf{q} = \int (\nabla \Phi)^2 e^{-\Phi/kT} d\mathbf{q} \rightarrow$$

$$kT_c = \langle F^2 \rangle / \langle \nabla^2 \Phi \rangle$$

* [Landau & Lifshitz' (1938 or 1958) Equation 33.14]

3C. Configurational Thermostat

The **Configurational** Temperature (or even several *different* temperatures $\{T_c\}$) can be imposed with a constrained Lagrangian :

$$\mathcal{L}(q, dq/dt) = K(dq/dt) - \Phi + \lambda(T_c - T_0) .$$

Two time differentiations $\rightarrow d^2T_c/dt^2$, taking care to choose T_c and dT_c/dt wisely, give λ . Then both T_c and the total energy, $E = K + \Phi$, are constants of the motion.

3D. Hoover-Leete* Thermostat

The **Hoover-Leete Kinetic Temperature** comes from Goldstein's mechanics using either a **Lagrangian** or a **Hamiltonian** approach :

$$\mathcal{L}(q, v = dq/dt) = K(v) - \Phi(q) + \lambda [K(v) - K_0] .$$

$\mathcal{H}(q, p) = \Sigma p \cdot v - \mathcal{L}(q, v)$, which gives

$$\mathcal{H}(q, p) = [4K(p)K_0]^{1/2} + \Phi(q) - K_0 .$$

In *both* these cases it is evident that **two or more temperatures** can be included .

* [Tom Leete's Master's Thesis, 1979, U WV]

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4. Problems with the Lagrangian or Hamiltonian Thermostat Approach

4. Problems for the Theory

The **Gaussian Isokinetic** and **Nosé-Hoover** *Hamiltonians* both use the trick $\mathcal{H} = 0$. There is no way to include *two* temperatures with such an approach. Instead, the **dynamical equations** have to be adopted. Both these dynamic approaches give Second-Law **MultiFractal** phase-space distributions.

The **Configurational** and **Hoover-Leete** Kinetic Lagrangians and Hamiltonians both can include more than one temperature, but both have *two* constants of the motion. Accordingly, *neither* gives **fractals**.

Next time we will consider **Computational Results**.

Rogues' Gallery of Thermostaters



Results with Hamiltonian Thermostats in Molecular Dynamics Simulations

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<http://williamhoover.info>

- 1. Four Thermostat Types .**
- 2. Periodic Heat Flow Problem .**
- 3. Aoki-Kusnezov ϕ^4 Model System .**
- 4. Continuum Solution of the Problem .**
- 5. Gauss & Nosé-Hoover Results .**
- 6. Hoover-Leete & Landau-Lifshitz Results .**
- 7. Summary and Suggestions .**

Results using Hamiltonian Thermostats in Molecular Dynamics Simulations

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1. Four Thermostat Types

We considered **Four Thermostats**

Two came from an ***ad hoc* friction** idea :

$$dq/dt = p/m ; dp/dt = F - \zeta p ,$$

Where ζ is either Isokinetic or Nosé-Hoover .

Two came from **Lagrangians** :

$$\mathcal{L}_{HL}(q,v) = K(v) - \Phi + \lambda [K(v) - K_0]$$

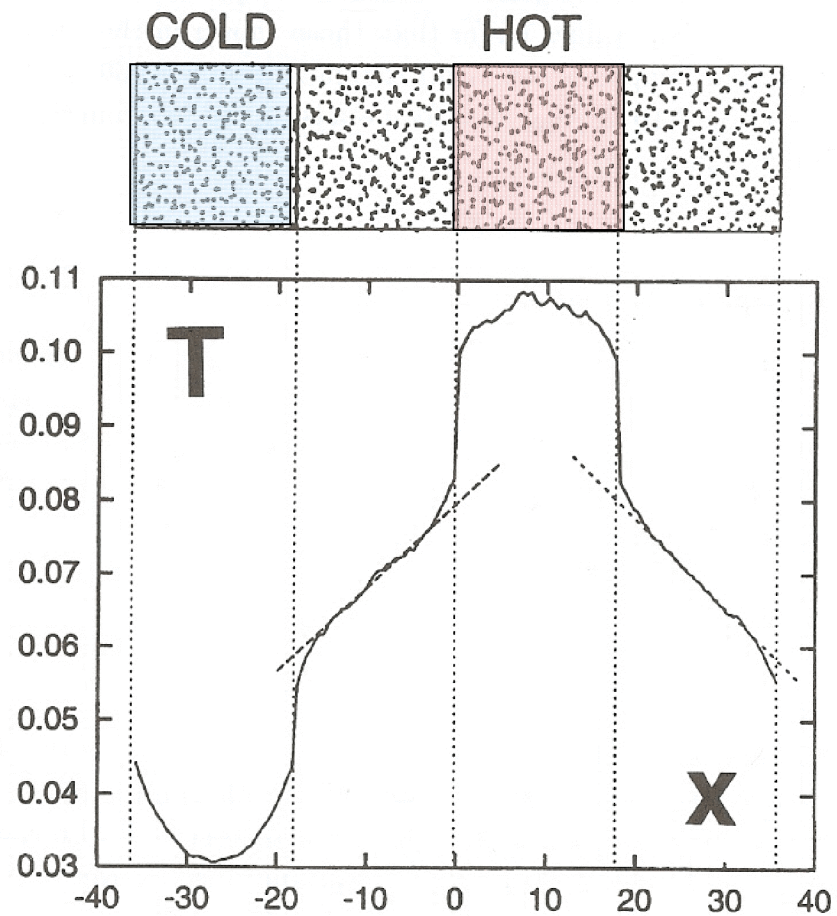
$$\mathcal{L}_{LL}(q,v) = K(v) - \Phi + \lambda [T(q) - T_0] .$$

Results using Hamiltonian Thermostats in Molecular Dynamics Simulations

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2. Periodic Heat Flow Problem

Four Chamber Periodic Problem



2. Periodic Heat Flow Problem

[**HOT** + Newton + **COLD** + Newton]

$\Phi = \sum_{i < j} \kappa_{ij} \delta_{ij}^2 / 2 + \sum_i \kappa_i \delta_i^4 / 4$,
Plus *control* using **HOT**
and **COLD** Thermostats .

Aoki and Kusnezov have determined a
1-D **heat conductivity** for $\kappa_{ij} = \kappa_i = 1$:

$$\kappa_{\text{Heat}} \sim 3T^{-4/3}$$

Dimitri Kusnezov & Kenichiro Aoki



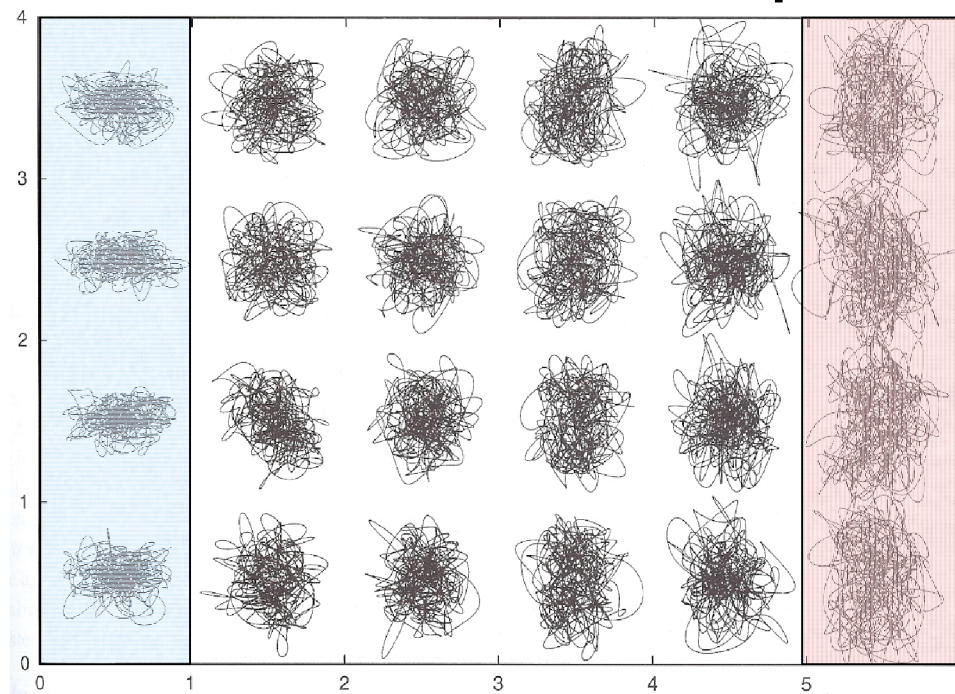
Colorado



Tokyo

Heat Conduction in 2D ϕ^4 Slab

$$\Phi_{\text{Newton}} = \sum_{\text{sites}} \delta^4 / 4 + \sum_{\text{pairs}} (|r| - 1)^2 / 2 .$$



Hoover, Aoki,
Hoover, and
De Groot
Physica D
(2004)

Four **COLD** Particles + Four **HOT** Particles

Results using Hamiltonian Thermostats in 1-D Molecular Dynamics Simulations

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3. Aoki-Kusnezov ϕ^4 Model System

$$\Phi_{\text{Newton}} = \sum_{\text{sites}} \delta^4 / 4 + \sum_{\text{pairs}} (|\mathbf{r}| - 1)^2 / 2 .$$

Results using Hamiltonian Thermostats in Molecular Dynamics Simulations

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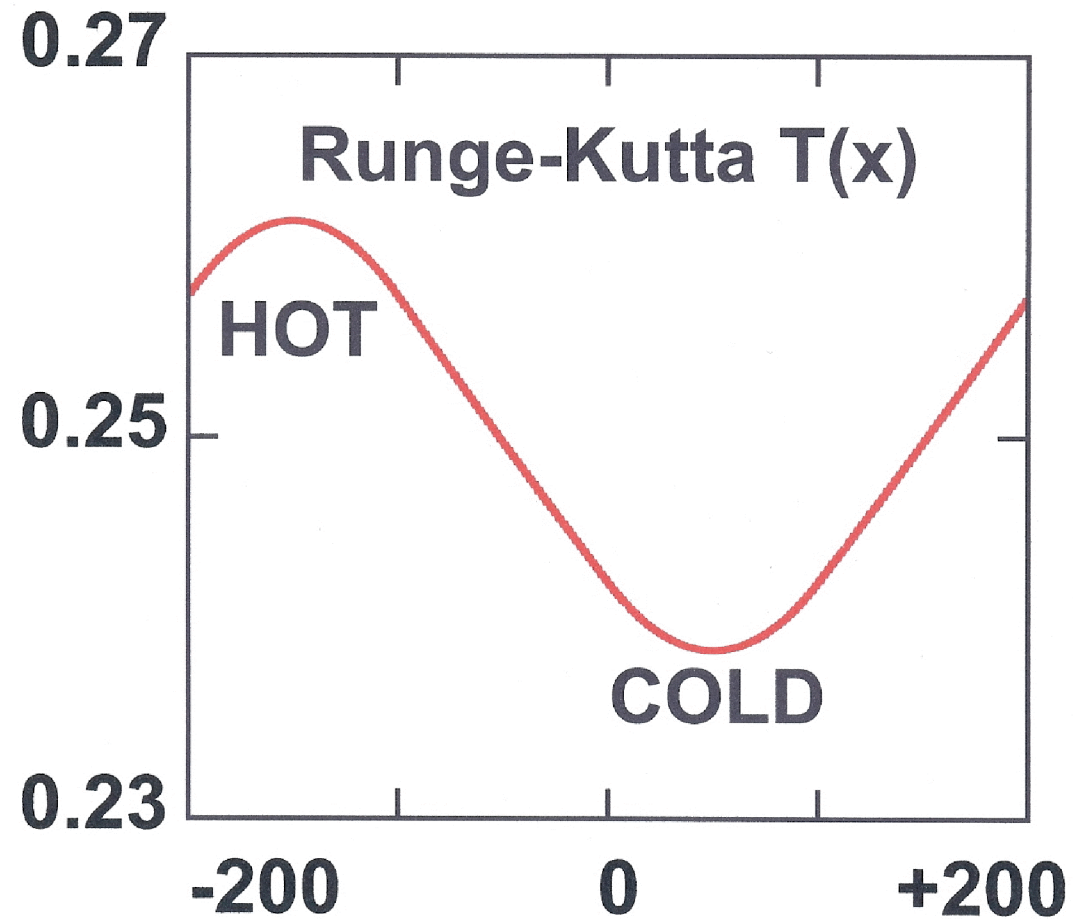
4. The Continuum Solution

Solving the Periodic Heat Flow Problem **[HOT + Newton + COLD + Newton]**

$$\dot{T} = \nabla[(3/T^{4/3})\nabla T] \pm \alpha T$$

We can solve this Heat Flow Problem with Fourth-order Runge-Kutta integration on a one-dimensional mesh.

Finite-Difference Temperature

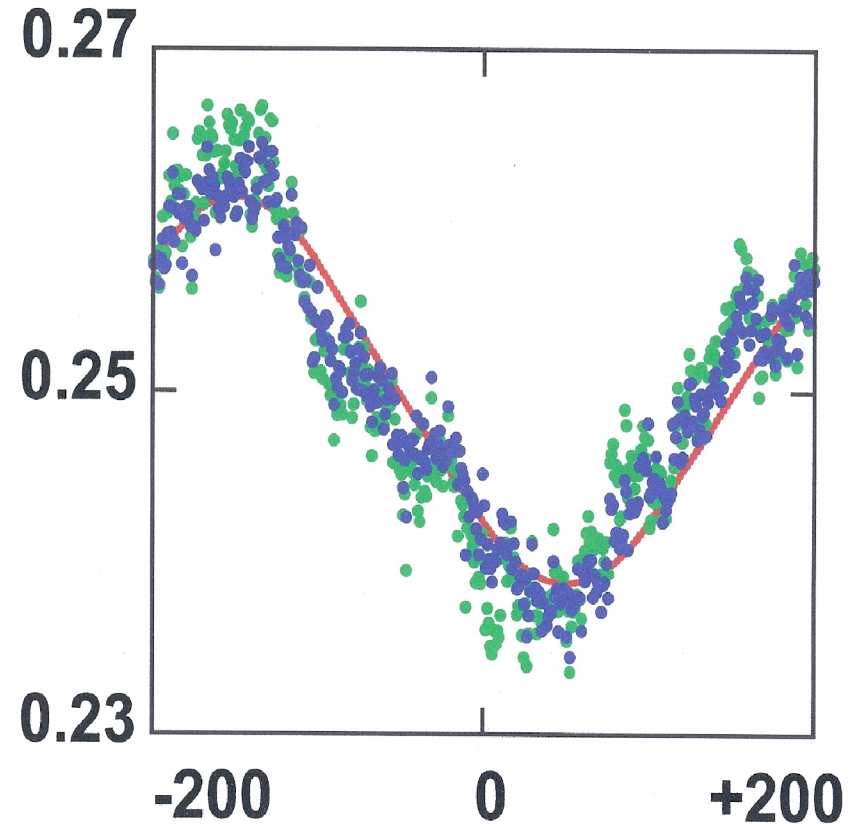
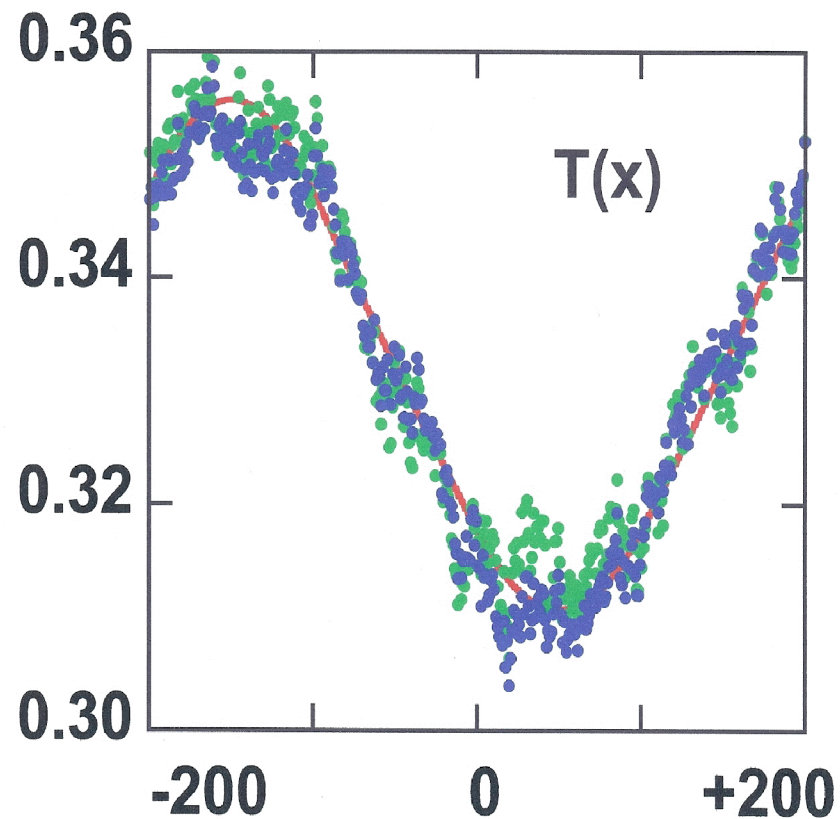


Results using Hamiltonian Thermostats in Molecular Dynamics Simulations

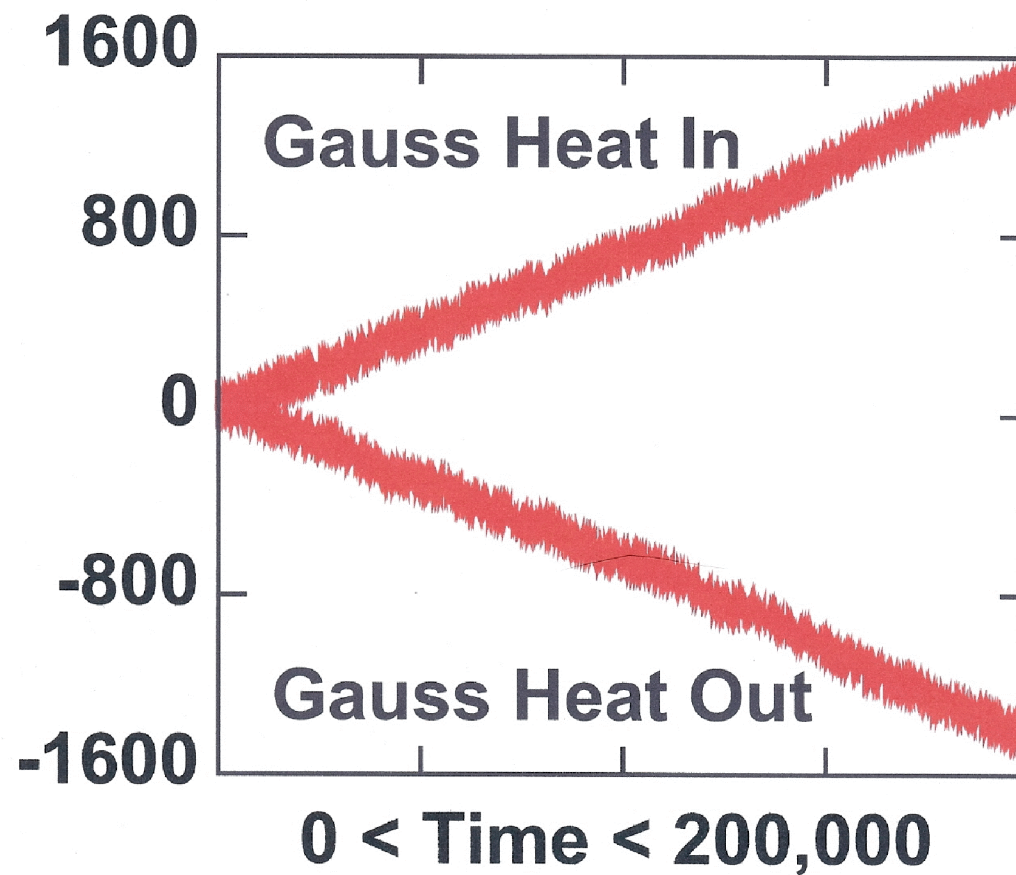
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5. Gauss & Nosé-Hoover Thermostats

Gauss & Nosé-Hoover Profiles : Kinetic & Configurational $T(x)$



HOT and **COLD** Heat Fluxes

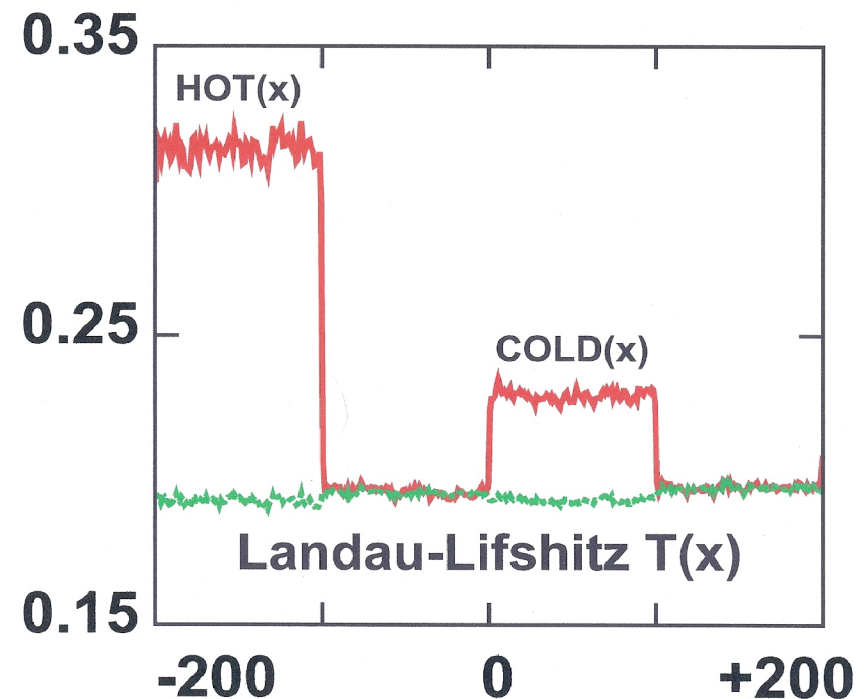
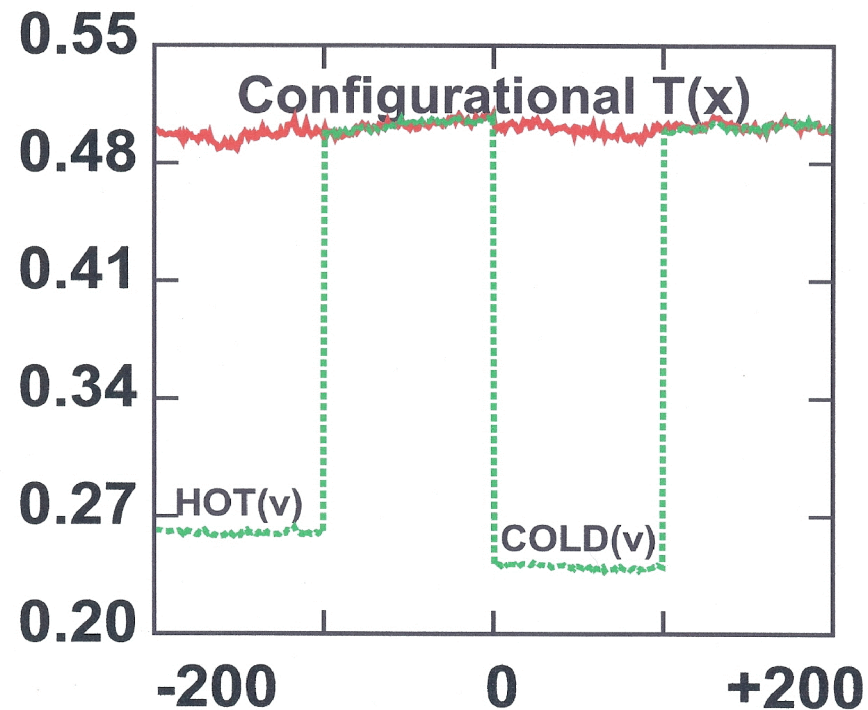


Results using Hamiltonian Thermostats in Molecular Dynamics Simulations

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6. Hoover-Leete Thermostat
Landau-Lifshitz Thermostat

Hoover-Leete & Landau-Lifshitz Kinetic & Configurational $T(x)$.



Characteristics of the Hoover-Leete & Landau-Lifshitz “Nonequilibria”

Though the local $T(\mathbf{q})$ or $T(\mathbf{p})$ can be constrained, no fluxes result .

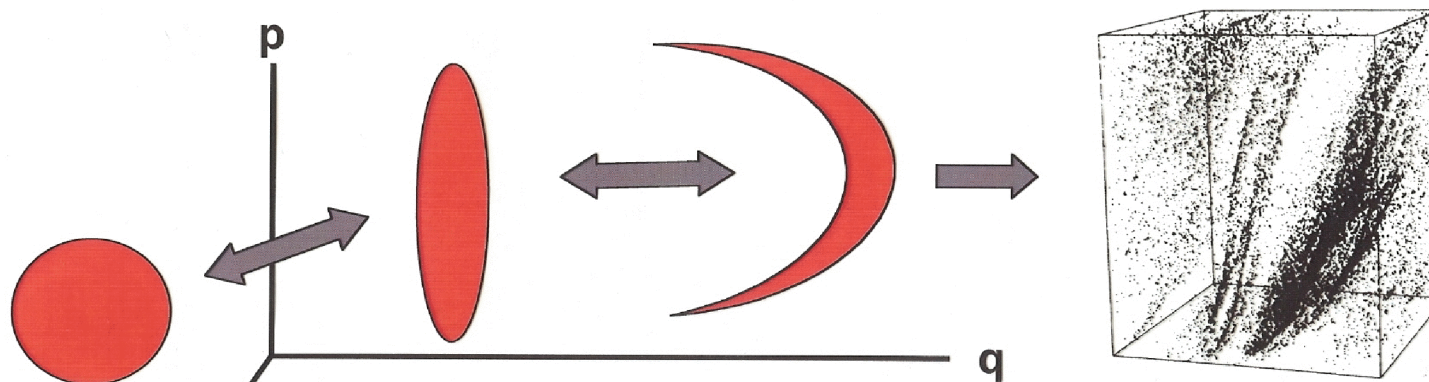
$T(\mathbf{p})$ constrained $\rightarrow T(\mathbf{q})$ constant ;
 $T(\mathbf{q})$ constrained $\rightarrow T(\mathbf{p})$ constant .

Characteristics of the Hoover-Leete & Landau-Lifshitz “Nonequilibria”

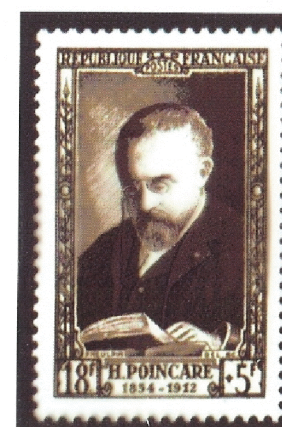
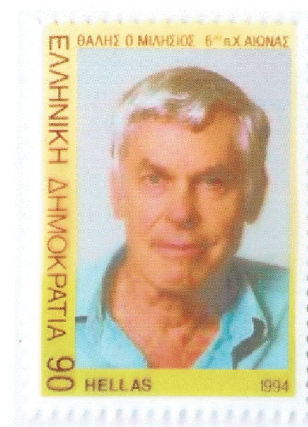
**Phase Volume is Conserved, in
Violation of the Second Law .**

**Total Energy is Fixed while
some Temperatures are also, in
Violation of Thermodynamics .**

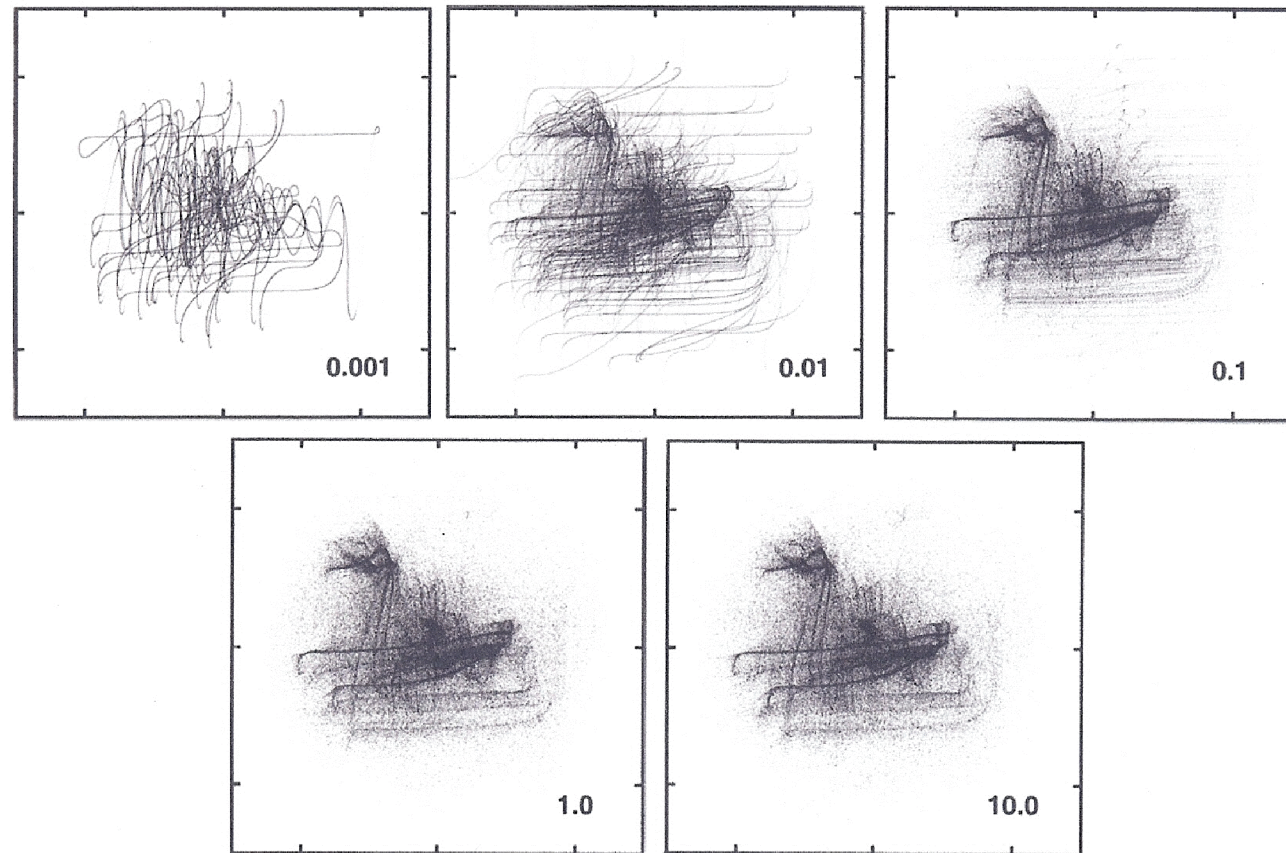
Generic Nonequilibrium Phase Space Flow



ζ



Continuous Orbit \rightarrow Multifractals



Dimensionality of Skiing Goose: 2.0 or 1.77 .

Results using Hamiltonian Thermostats in Molecular Dynamics Simulations

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7. Summary & Suggestions

7. Summary and Suggestions

1. *All* useful single-T Thermostats *can* be related to **Hamiltonian Mechanics** .
2. Hamiltonian Thermostats fix *both* the Energy and the Temperature !
3. Hamiltonian Thermostats work, but *cannot* provide Heat Flow . **Why Not ?**
4. **Fractal distributions** provide a clue ; Hamiltonians → phase conservation .